

WAR DEPARTMENT OFFICE OF THE CHIEF SIGNAL OFFICER WASHINGTON

SPSLG-3a

January 29, 1943.

Mr. William F. Friedman, 400 Lee Boulevard, Arlington, Virginia.

Dear Sir:

Incls -

Inclosed herewith, in duplicate, is your application for a patent entitled "System for Enciphering Facsimile". It comprises Petition, Specification, Oath, Assignment, License and a photostatic copy of the drawing.

If this application meets with your approval, it is requested that you execute it by signing it with your first name in full at the places indicated by the attached tabs, before a notary public who must legalize the application by filling in the place and date of execution and impressing his seal at the places indicated by the attached tabs.

It is requested that executed original application be returned to this office for filing in the United States Patent Office.

The duplicate copy of the application is to be retained by you for your files.

Your comments and suggestions relative to this application will be welcome. If they form a part of your original invention, they may be incorporated in the application by amendment.

Yours very truly,

dan Illow



IN REPLY REFER TO

SPSLG

Legal Patents

(Friedman)

Donald K. Lippincott -, Lieutenant Colonel, Signal Corps Counsel, Office of Legal Director

Pat appl with forms (in duplicate) Photostatic cy of drawing

Approved for Release by NSA on 09-10-2013 pursuant to E.O. 13526

<u>C O P Y</u>

William F. Friedman - Invention

Sig.Sec.Div.

1. Inclosed is a letter and its inclosures addressed to Mr. Friedman.

2. It is contrary to policy of Legal Director to file patent applications on secret inventions. They are filed on inventions considered "confidential." Advice is requested whether or not this case should be filed.

3. If you decide the case ought not be filed, it is requested the same be signed at the present time, and this office notified. This office will then prepare a letter for the file of the case, showing the facts so in any future interference priority contest our record will be clear.

Incls -

letter to Friedman with its inclosures

Nelson Moore Capt.,Sig.Corps Attorney,Off.Leg. Director SPSLG-3b Feb 1, '43 Ext. 72416

2 Off.Legal Director SPSLG-3a 1. It is recommended that the subject patent application be filed under the confidential classification.

2. The duplicate copy of the application has been retained by Mr. Friedman.

3. No changes are suggested relative to the application.

W. Preston:Cordermen Colonel, Sig.Corps Code 8129 Feb. 25,'43

PETITION

TO THE COMMISSIONER OF PATEN'TS:

Your petitioner,	William F. Friedman, a
citizen of the United Sta	tes residing a 8932 Military Road. N.W.
in the Grandward District of	Columbia rank site City of Washington
and whose post-office addres	S 3932 Military Road, N.W., Washington, D. C.
	pray that Letters Patent may be
granted to him	without payment of fee, pursuant to the provisions
of the Act of March 3, 1883,	c. 143; U. S. Statutes, XXII, p. 625, as amended
by the Act of April 30, 1928	, for the improvement in
System for En	piphering Facsimile
set forth in the annexed Spe	

And <u>he</u> hereby irrevocably give control of <u>his</u> application for Letters Patent to the Secretary of War, and appoint <u>William D. Hall</u>, whose post-office address is care of the Chief Signal Officer, where the secretary of Building, Washington, D. C., attorney with full power of substitution and revocation to prosecute this application, to make alterations and amendments therein, to sign <u>his</u> name to the drawings, to receive the Letters Patent, and to transact all business in the United States Patent Office connected therewith.

Signed at _		in the County of
and State of	fthis	day of 143
	(Sign here,	

(first name in full)

SPECIFICATION

TO	لىلى	WHO	M 11	MAY	CONCERN:					•
BE	ΙT	KNOW	N, I	hat_	William	F. Friedman is a	9	87-3-4,-12-6,-13-14-14-14-14-14-14-14-14-14-14-14-14-14-		citizen
of	the	Un i	ted	State	es residing	a 5932 Militery	Rd. in	City thaxioxixty	v of <u>Was</u> l	hington
an	Dist	triot	of 1	Colum	bia	ba ve invented	í certai	n new and	useful	improve-
mer	nts	in		<u>Sy</u>	stem for En	ciphering Facsi	mile	ىلى مەرىپى ھىلىكى ھىلى بىرى بىرى بىرى بىرى بىرى بىرى بىرى ب		

of which the following is a specification:

The invention described herein may be manufactured and used by or for the Government for governmental purposes, without the payment to me of any royalty thereon. The subject matter of this invention is a system for enciphering facsimilies.

This invention relates to means for secretly communicating information by transmitting a facsimile of the message in a graphic form of any sort such as a writing, type-writing, picture, photograph or the like. Secrecy is obtained by transmitting a series of impulses caused in part by the message to be transmitted and in part by a control in graphic form such as any writing, picture or random arrangement of dots or lines. Such a control does not necessarily have any intelligibility in itself. It operates as a random key. At the receiving end, a duplicate of this control is employed. This duplicate control is moved in synchronism with the movements of the control at the transmitting end and causes a series of impulses which co-operate with the impulses received from the transmitter, the interaction between the two series of impulses serving to produce a facsimile of the original message.

It is an object, therefore, of my invention to provide apparatus comprising a transmission system including a transmitter section and a receiver section. Each of said sections has, as a part thereof, an electric circuit including the contacts of a plurality of relays interconnected in such a way as to cause a plurality of impulses to circulate in said circuit. In the transmitter section these impulses represent the combined effects due to the message to be transmitted and to a control element. An impulse can only occur in this circuit when both of the relays have not moved their contacts to the same position. In the receiver section these impulses represent those

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caused by the original message, since the impulses due to the control have been removed by the use of a duplicate of the control in the receiver section.

For a further exposition of my invention reference may be had to the annexed drawings and specification at the end whereof the novel features of my invention will be specifically pointed out and claimed.

In the drawings

Figure 1 is a circuit diagram of the transmitter with parts designated by blocks bearing appropriate labels.

Figure 2 is a tabulation illustrating the impulses comprising the intelligence transmitted.

Figure 3 is a circuit diagram of the receiver in block form.

In the one embodiment of my invention which has been selected from among others, my device is shown as comprising a transmitter section having a motor 1 driving shaft 2 carrying transparent drum 3 surrounded by message sheet 4 and also carrying transparent drum 5 surrounded by control sheet 6. Within drums 3 and 5 are located electric lamps 7 and 8 which serve as sources of light and which are energized from a source of electricity 9. Opposite lamps 7 and 8 so as to receive a beam of light therefrom and, respectively, under the control of message sheet 4 and control sheet 6, are located light-sensitive cells 10 and 11 which form parts of circuits including amplifiers 12 and 13 and relay coils 14 and 15. These relays also include movable contacts 16 and 17 biased, in one direction by springs 18 and 19 and, in the other direction by coils 14 and 16, respectively, when these coils are energized, and engaging one or the other of stationary contacts 20 and 21. Contacts 16, 17, 20 and 21 form parts of a circuit, including a source of ourrent 22 and relay coil 23, which, when

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energized, attracts movable contact 24 into engagement with stationary contact 25, overcoming the pull of spring 26. Contacts 24 and 25 control a circuit including transmitter 27 having an output element 28, shown as an antenna.

At the place to which it is desired to transmit the intelligence, there is located a receiving system having a receiving element, indicated as an antenna 29, forming part of receiver 30 which is connected into circuit so as to control relay coil 31. This rolay also includes movable contact 32 stressed away from coil 31 by spring 33 and co-operating with stationary contacts 34. Motor 35 drives shaft 36 carrying transparent drum 37 surrounded by second control sheet 39, which is a duplicate of control sheet 6. Within drum 37 is located electric lamp 39, energized by a source of electricity 40. Opposite lamp 39 so as to receive a beam of light therefrom under the control of second control sheet 38, is located light-sensitive cell 41 which forms a part of a circuit including amplifier 42 and relay coil 43. This relay also includes movable contact 44 blased in one direction, by spring 45 and, in the other direction by coil 43, when this coil is energized, so as to engage one or the other of stationary contacts 46. Contacts 34 and 46 form parts of a circuit including a source of electricity 47 and a relay coil 48. Whis relay includes movable contact 49 stressed away from coil 48 by spring 50 and co-operating with stationary contact 51. Contacts 49 and 51 are parts of a circuit including a source of electricity 52 and coil 53, which is the operating element of a facsimile reproducer of any convenient type.

The operation of my device is as follows: The message and the control sheets are in any graphic form such as a writing, printing, drawing, photograph or the like. They may be said to consist of pluralities of spots or elemental parts each of which

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is either black or white depending upon the part of the message which it forms. Relative movement is provided between lamp 7 and message sheet 4, between lamp 8 and control sheet 6, and between lamp 39 and second control sheet 38 in any convenient manner heretofore used in the art of facsimile transmission. This causes the beam of light emitted by each lamp to scan every spot or element of the message or control associated with it. In the transmitter this scanning thus produces a series of impulses in the amplifying circuits through the action of the light sensitive cells 10 and 11. For convenience of description, these impulses can be said to be produced by black spots in the message or centrol. Thus coils 14 and 15 are energized every time an impulse occurs in the amplifying circuit associated therewith. The action of the relays controlled by these coils produces in the circuit associated with them a series of impulses distributed in time as shown in Figure 2 of the drawings in which the term "X" represents an impulse. This figure shows the four possible cases. Thus it will be seen in column 1 that energising coil 14 by message sheet 4 without energizing coil 15 by control sheet 6, causes an impulse in the circuit containing coil 23 and labeled "Result" in Figure 2. This energizes coil 23 and causes transmittor 27 to emit an impulse. As seen in column 2, when both coils 14 and 15 are energized no impulse appears in the circuit containing coil 23. As seen in column 3, energising coil 15 but not energizing coil 14 causes an impulse in the circuit containing coil 23. Column 4 shows that when no impulse is present in either amplifier 12 or 13 and, consequently, neither coil 14 nor 15 is energized, no impulse appears in the circuit containing coil 23. To put it another way, an impulse only appears in coil 23 and, therefore, an impulse is only sent out from transmitter 27 when coils 14 and 15 are not in the same condition, i.e. are not simultaneously energized or de-energized. This is due to the fact that simultaneous energization or de-energization

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of coils 14 and 15 causes movable contacts 16 and 17 to engage stationary 20 and 21, respectively, which are connected to the same side or polarity of source 22.

The series of impulses emitted by the output element 28 of transmitter 27 is received by the input element 29 of receiver 30. Each impulse so received energized coil 31. Lotor 35 produces relative movement between second control sheet 38 and light 39 so that control sheet 38 is scanned in synchronism with control sheet 6. Since second control sheet 38 is a duplicate of control sheet 6, coil 43 is energized in synchronism with the energizations of coil 15. Referring again to Figure 2, the line labeled "Result" represents the impulses which pass through receiver 30 and energize coil 31, while the line labeled "Control" represents the simultaneous impulses caused by control sheet 38 and which energize coil 43. Figure 2, column 1 shows that when there is an impulse in coil 31 and none in coil 43, an impulse is produced in coil 48, which is represented in Figure 2 by the line labeled "Message". Following through the other columns of Figure 2 shows that when coils 31 and 43 are simultaneously energized or de-energized, no impulse appears in coil 48. Likewise, when either coil 31 or 43 is energized when the other is de-energized, coil 48 is energized. The energization of coil 48 causes coil 53 to be energized and coil 53 operates a stylus or other marking mochanism and thus message sheet 4 is reproduced.

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1. An apparatus for socret faceimile transmission comprising, a oirouit controlled into either of two positions by the movement of the message to be transmitted, a second circuit controlled into either of two positions by the movement of a camouflage element, a transmitter arranged to smit a signal intermittently, an interlock provided between said circuits and said transmitter so that said transmitter only sends said signal when both of said circuits are not in the same position, a receiver in communication with said transmitter to receive signals therefrom and to be controlled into either of two positions thereby, a third circuit controlled into either of two positions thereby, a third provided between said receiver and said third circuit so that said second interlock only transmits a signal when said receiver and said third oirouit are not in the same position, and a recorder controlled by said second interlock to reproduce the message.

An apparatus for secret facsimile transmission comprising, a 2. scanner controlled by the movement of the message to be transmitted, a circuit controlled by said scanner into either of two positions, a camouflage element,) a second scanner controlled by the movement of the camouflage (element,) a second circuit controlled by said second scanner into either of two positions, a transmitter arranged to transmit a signal or none, an interlock provided between said circuits and said transmitter so that said transmitter only sends said signals when both of said cirouits are not in the same position, a receiver in communication with said transmitter to receive signals therefrom and to be controlled into either contrata of two positions by a duplicate of said camouflage/element/moving in synchronism therewith, a second interlock provided between said said receiver and said third circuit so that said second interlock only transmits a signal when said (receiver) and said third circuit are not in the same position, and a recorder controlled by said second interlock

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to reproduce the message.

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An apparatus for secret facsimile transmission comprising, a 5. circuit controlled into either of two positions by the movement of the message to be transmitted, a second circuit controlled into ofther of two positions by the movement of a camouflage (element,) a transmitter arranged to emit a signal or none, an interlock provided between said circuits and said transmitter so that said transmitter only sends said signal when both of said circuits are not in the same position, a receiver in communication with said transmitter to receive signals therefrom and contral a suites to (be controlled) into either of two positions thereby, a scanner controlled Wilse M. by a duplicate of said camouflage element moving at the same speed, a third circuit controlled by said scanner into either of two positions. a second interlock provided between said (receiver) and said third circuit so that said second interlock only transmits a signal when said receiver and said third circuit are not in the same position, and a recorder controlled by said second interlock to reproduce the message.

4. An apparatus for secret facsimile transmission comprising, a circuit controlled into either of two positions by the scanning of the message to be transmitted, a light-sensitive cell forming the control element of said circuit, a second circuit controlled into either of two positions by the scanning of a camouflage element, a second light-sensitive cell forming the control element of said second circuit, a transmitter arranged to smit a signal or nons, an interlock provided between said circuits and said transmitter so that said transmitter only sends said signal when one of said circuits is energized by one of said cells into a different position from the other of said circuits, a receiver in communication with said transmitter to receive signals therefrom and to be a numited sitter of two positions by a duplicate of said camouflage element moving in synchronism therewith, a second interlock provided between said receiver and said third circuit so that said second interlock avitet. only transmits a signal when said/receiver) and said third circuit are in different positions, and a recorder controlled by said second interlock

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to reproduce the message.

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Means for secretly transmitting graphic information, said 5. means comprising, a message in graphic form which it is desired to transmit, a scanner arranged to scan and reproduce said message as a series of electric impulses of varying intensity, a screen having varying portions, a second scanner arranged to scan and reproduce the variations of said screen as a second series of electric impulses of verying intensity, a relay connected under the control of said scanner and arranged to be moved by each of said impulses to one of two positions, a second relay connected under the control of said second scanner and arranged to be moved by each of said second impulses to one of two positions, an electric circuit including parts of said relays and adapted to be closed only when there is instantaneously an impulse in either series but not in the other, a transmitter connected under the control of said electric circuit so as to emit impulses whenever said circuit is closed, a receiver arranged to receive the impulses emitted by said transmitter and having an output comprising a third series of electrical impulses of varying intensity, a second screen duplicating said first mentioned screen, a third scanner arranged to scan synchronously with the scanning of said second scanner and reproduce the variations of said second screen as a fourth series of electric impulses of varying intensity, an elector-mechanical interlock connected under the control of said third and of said fourth series of impulses and arranged to be energized whenever an impulse occurs in one of said third and fourth series and no impulse occurs simultaneously in the other of said third and fourth series, and a recorder connocted under the control of said interlock and arranged to operate whenever said interlock is energized and to thereby reproduce said message.

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Means for secretly transmitting graphic information, said means comprising, a message in graphic form which it is desired to transmit, a scanner arranged to scan and reproduce said message as a series of electric impulses of varying intensity, a screen having varying portions, a second scanner arranged to scan and reproduce the variations of said screen as a second series of electric impulses of varying intensity, an elector-mechanical interlock connected under the control of both of said sories of impulses and arranged to be energized whenever an impulse occurs in one of said series and does not occur simultaneously in the other of said series, a transmitter connected under the control of said interlock so as to emit impulses whenever said interlock is energized, a receiver arranged to receive the impulses emitted by said transmitter and having an output comprising a third series of electrical impulses of varying intensity, a second screen duplicating said first mentioned screen, a third scanner arranged to scan synchronously with the scanning of said second scanner and reproduce the variations of said second screen as a fourth series of electric impulses of varying intensity, a second electro-mechanical interlock connected under the control of said third and of said fourth series of impulses and arranged to be energized whenever an impulse occurs in one of said third and fourth series and no impulse occurs simultaneously in the other of said third and fourth series, and a recorder connected under the control of said second interlock and arranged to operate whenever, said second interlock is energized and to thereby reproduce said message.

7. Means for secretly transmitting graphic information, said means comprising, a message in graphic form which it is desired to transmit, a scanner arranged to scan and reproduce said message as a series of electric impulses of varying intensity, a screen having varying portions, a second scanner arranged to scan and reproduce the variations of said screen as a second series of electric impulses of varying intensity, a relay connected under the control of said scanner and arranged to be moved by each of said impulses to one of two positions, a second rolay connected under the control of said second scanner and arranged to be moved by each of said second impulses to one of two positions, an electric circuit including parts of said relays and adapted to be closed only when there is instantaneously an impulse in either series but not in the other, a transmitter connected under the control of said electric circuit so as to emit impulses whenever said circuit is closed, a receiver arranged to receive the impulses emitted by said transmitter and having an output comprising a third series of electrical impulses of varying intensity, a second screen duplicating said first mentioned screen, a third scanner arranged to scan synchronously with the scanning of said second scanner and reproduce the variations of said second screen as a fourth series of electric impulses of varying intensity, a third relay connected under the control of said third scanner and arranged to be moved by each of said impulses of said fourth series to one of two positions, a fourth relay connected under the control of said receiver and arranged to be moved by each of said impulses of said third series to one of two positions, an electric circuit including parts of said relays and adapted to be closed only when there is instantaneously an impulse in either said third or said fourth series but not in the other, and a recorder connected under the control of said circuit and arranged to be energized whenever said circuit is closed to record the original

message.

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The method of transmission which includes sending a facsimile transmission, and means for increasing the secrecy of the transmission, Wy article the transmission of the The method of secret message transmission which includes taking the following steps at the transmission station: writing the message, scanning the written matter, generating a series of impulses due to the scanning, said impulses occuring due to presence of absence of written matter at the instant of the impulse at the point scanned at at that instant, interposing in and removing impulses from said series of impulses according to a predetermined plan and transmitting the resulting stream of impulses to the receiving station; and taking the following stops at the receiving station: receiving the stream of impulses, removing i mulses that were interposed in the series of impulses at the transmitting station and adding impulses that were removed from the series of impulses at the transmitting station; whereby to effectively reproduce the said series of impulses that directly resulted from said scanning, and recording the last-named reproduced series of impulse.

Boy our actuar × 10. In a system of secret facsimile transmission, a transmitting station comprising first and second elements of which each element is adapted for operation to a plurality of positions, scanning means for operating the first of said elements to control its position, means for controlling the position of the second element in an irregular manner according to a predetermined law; and a receiving station comprising third and fourth elements of which each element is adapted for operation to a plurality of positions, means whereby the position of the third element is controlled according to the conjoint action and in dependance on the relative position of the first and second elements, means for controlling the fourth element in the same irregular manner that the second element is controlled, and means responsive to the conjoint action and acting in dependence on the relative positions of the third and fourth elements for reproducing the original message.

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(Sign here, first name in full.)

IN TESTIMONY WHEREOF **I** affix **my** signature

ОАТН

SS

William F. Friedman

the above-named petitioner___, being duly sworn, deposes__ and says_ that _he is a citizen______of____the United States of America and resident______of____S932 Military Road, N. W., Washington, D. C. that ______he____verily believes himself______to be the original, first, and ______ inventor_____of the improvement in ______System for Enciphering. Facsimile

described and claimed in the annexed specification; that <u>he</u> does not know and does not believe that the same was ever known or used before <u>his</u> invention or discovery thereof or patented or described in any printed publication in any country before <u>his</u> invention or discovery thereof, or more than one year prior to this application, or in public use or on sale in the United States for more than one year prior to this application; that said invention has not been patented in any country foreign to the United States on an application filed by <u>him</u> or <u>his</u> legal representatives or assigns more than twelve months prior to this application; and that no application for patent or said improvement has been filed by <u>him</u> or <u>his</u> representatives or assigns in any country foreign to the United States, except as follows:

24 Jetry W

(Sign here, first name in full) SWORN to and subscribed before me this ______ day of ______, 19 43

(Seal here, to be impressed in paper.)

Notary Public

ASSREF MD; A10521

	WHEREAS,	I,	•	,	. Friedma	and the second se			 ^
of	3932 Mili	tary Ro	ad, N.	W., V	Mashingto	n, D, C.			
					, ha za	invented	certain	improvements	ın

for which the undersigned on _____even date herewith ______ executed an application for Letters Patent of the United States; and

WHEREAS, the invention was made while the undersigned was in the employ of the War Department, and pertains to a device useful in the National Defense, and

WHEREAS, The Government of the United States is desirous of acquiring the entire right, title, and interest in and to the said invention and in and to any patents that may issue thereon.

NOW, THEREFORE, in consideration of the premises and one dollar (\$1.00), the receipt of which is hereby acknowledged, the undersigned have sold, assigned, and transferred, and by these presents do here by sell, assign and transfer unto the Government of the United States of I merica, as represented by the Secretary of War, the entire right, title and interest, throughout the United States of America, and the territories and dependencies thereof, and not elsewhere, in and to the said invention and to the invention as described in the specification executed by the undersigned on **even date**

herewith , preparatory to obtaining Letters Patent in the United States therefor, and to all Letters Patent issuing thereon and any continuations, divisions, renewals, and reissues or extensions of such Letters Patent; the said entire right, title and interest as well as the control of the prosecution of the application and all continuations, reissues and divisions thereof to be held by the Government of the United States of America (as represented by the Secretary of War) and all Letters Patent including any divisions, reissues, renewals or extensions thereof as there are or that may be granted, to be held by the Government as fully and entirely as the same would have been held by me had this assignment and sale not been made. The undersigned hereby gives the Government of the United States of America the non-exclusive right to make, use, or sell the invention for governmental purposes in all foreign countries.

Provided, however, that upon any subsequent notice of allowance of said application or of any renewals, substitutions, divisions, continuations, or continuations-in-part being given by the Commissioner of Patents, the entire right, title, and interest in and to said invention and said application or any renewals, substitutions, divisions, continuations, or continuations-inpart, and such patents as may be issued thereon, will thereupon revert to

Tyself

subject to an irrevocable, non-exclusive, and royalty-free right and license remaining vested in the United States of America as represented by the Secretary of War, to make, have made, to use, and to sell the subject matter of said invention for governmental purposes only, to the full end of the term or terms for which any Letters Patent, divisions, reissues, renewals, extensions, continuations or continuations-in-part are or may be granted.

Witness 2+ 72 W37 Before me, a notary public in and for the appeared the above-named , personally known to me, who in my presence executed the foregoing assignment and acknowledged that his execution thereof was his free act and deed. Signed thisday of

WHEREAS. I, William F. Friedman am an employee of the Government of the United States of America, and

CENSE

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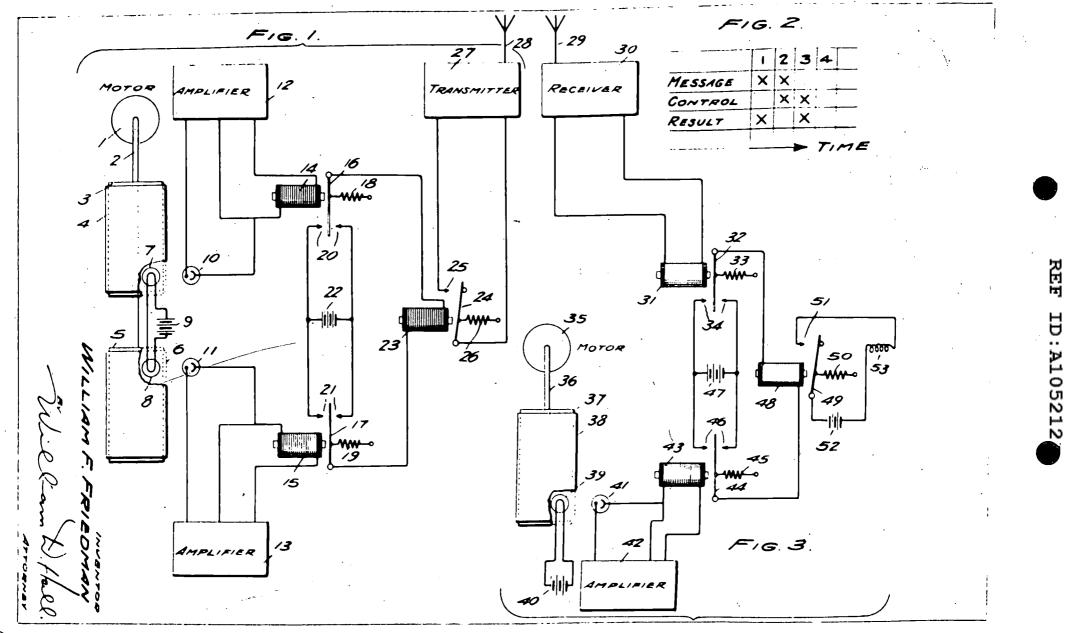
WHEREAS, in pursuance of said employment the undersigned has invented certain imprevements in System for Enciphering Facsimile

for which the undersigned is about to make application for Letters Patent of the United States; and

WHEREAS, the nature of my employment, and the conditions and circumstances under which said invention was made, are such as to justly and lawfully entitle the Government of the United States of America to have a non-exclusive license and right to make and use said invention, together with any and all improvements there on and inventions relating thereto that the undersigned has made or may hereafter make while employed and engaged by the United States Government;

NOW, THEREFORE, in consideration of the premises the undersigned does hereby give and grant unto the Government of the United States of America a nonexclusive license to make, to have made, to use and/or to sell, said invention as described in the specification executed by the undersigned on ______ ___, said non-exclusive license to extend herewith to any and all Letters Patent which may be granted for said invention, (including all divisions, reissues, continuations, and extensions thereof) together with any and all improvements thereon and inventions relating thereto made by the undersigned while employed or engaged by the United States Government, or for which the undersigned may hereafter make application for Letters Patent while employed or engaged by the United States Government, reserving to the undersigned in each case the unrestricted possession of all other patent rights not hereby or otherwise licensed to the Government of the United States of America. Said license hereby granted or agreed to be granted shall extend throughout the United States, its territories and dependencies, and all foreign countries and shall continue in force for the full term for which said Letters Patent may be granted.

SIGNED at Warh	, State of
this 24th	lay of 7:0- , 19 43
Witnesses:	Signed:

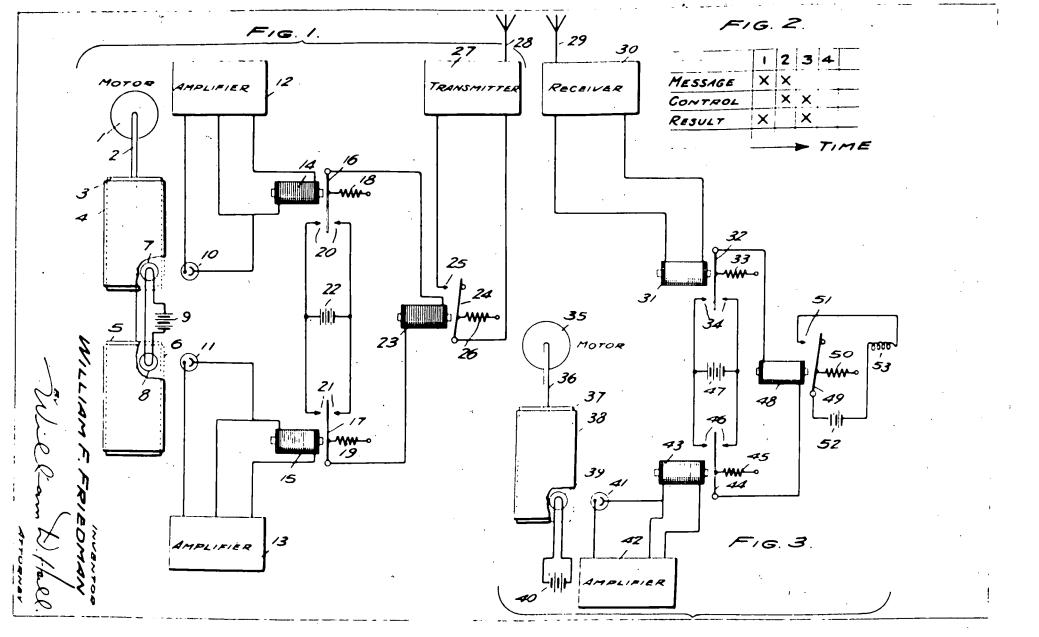


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